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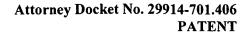
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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

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TRADEN			Application Numb	ber	10/657,837	
Т	RANSMITTA	\mathbf{L}	Filing Date		September 9, 2003	
	FORM	_	First Named Inver	ntor	Mark A. Reiley	
(to be used	l for all correspondence after in	itial filing)	Art Unit		3738	
			Examiner Name		David J. Isabella	
1	of Pages in This Submission nclude references)	15	Attorney Docket 1	Number	29914-701.406	
		ENCLOSUI	RES (Check all that a	ipply)		
Fee Tran	smittal Form	Drawing	g(s)		After Allowance communication to Technology Center (TC)	
☐ Fe	e Attached	Licensin	ng-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amendn	nent/Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
☐ Af	ter Final	Petition to Convert to a Provisional Application		,	Proprietary Information	
☐ Ai	fidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			Status Letter	
Extensio	n of Time Request	Terminal Disclaimer			Other Enclosure(s) (please identify below): 1. Return receipt postcard	
Express	Abandonment Request	Request for Refund			2. PTO/SB/083. Copies of references #297-337	
	ion Disclosure Statement	CD, Number of CD(s)			3. Copies of references #257-337	
Certified Docume	Copy of Priority nt(s)	Remarks				
	e to Missing Parts/ ete Application	A fee of \$180.00 is authorized to be charged to Deposti Account No. 23-2415 (Docket No. 29914-701.406) for filing of the Supplemental IDS.				
Re	esponse to Missing Parts der 37 CFR 1.52 or 1.53					
l un		ATURE OF APP	LICANT, ATTORN	EY OR AC	GENT	
Firm or Individual name Signature	Cecily Anne O'Regan,	Reg. No. 37,44	8, WILSON SONS	INI GOOL	ORICH & ROSATI	
Date	June 12, 2006	\mathcal{M}				
		ERTIFICATE O	OF TRANSMISSION	I/MAILING	G	
postage as Expres	at this correspondence is being	facsimile transmitted	d to the USPTO or depor	sited with the	e United States Postal Service with sufficient Mail Stop Amendment, Commissioner for	
Typed or printed i	name Frank Chen					
Signature	1	<u> </u>		Date	June 12, 2006	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Mark A. REILEY

Serial Number: 10/657,837

Filing Date: September 9, 2003

Title: FACET ARTHROPLASTY DEVICES

AND METHODS

Group Art Unit: 3738

Examiner: David J. Isabella

CONFIRMATION NO: 1759

FILED BY EXPRESS MAIL ON: June 12, 2006 EXPRESS MAIL LABEL NO.: EV 711414991 US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Sir:

Applicant hereby submits an Information Disclosure Statement along with attached form PTO/SB/08. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicant further requests that the Examiner initial and return the attached form PTO/SB/08 in accordance with MPEP §609.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

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2867914_1.DOC Attorney Docket No. 29914-701.406

A.	37 CFR § because:	1.97(b). This Information Disclosure Statement should be considered by the Office
	<u> </u>	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under §1.53(d);
		OR
	<u> </u>	It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;
		OR
	(3)	It is being filed before the mailing of a first Office action on the merits;
		OR
	<u> </u>	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
B.	specified in 37 office action u	(0.97(c)). Although this Information Disclosure Statement is being filed after the period $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed after the period of $(0.97(c))$. Although this Information Disclosure Statement is being filed being filed after the period of $(0.97(c))$. Although this Information Discl
	.□ as	tatement as specified in §1.97(e) provided concurrently herewith;
		OR
		ee of \$180.00 as set forth in \$1.17(p) authorized below, enclosed, or included with the yment of other papers filed together with this statement.
C.	date of the earl	.97(d). Although this Information Disclosure Statement is being filed after the mailing lier of (1) a final office action under §1.113 or (2) a notice of allowance under §1.311, I before payment of the issue fee and should be considered because it is accompanied
	i.	a statement as specified in §1.97(e);
		AND
	ii.	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this Statement.
D.	. 🔲 37 CFR §1	.97(e). Statement.
		statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(c);
		AND/OR
	A :	statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(d);
		AND/OR
	inf the	copy of a dated communication from a foreign patent office clearly showing that the formation disclosure statement is being submitted within 3 months of the filing date on a communication is provided in lieu of a statement under 37 C.F.R. § 1.97(e)(1) as ovided for under MPEP 609.04(b) V.
E.	disclosure state application tha	Under 37 C.F.R. §1.704(d). Each item of information contained in the information ement was first cited in a communication from a foreign patent office in a counterpart t was received by an individual designated in § 1.56(c) not more than thirty (30) days ing of this information disclosure statement. This statement is made pursuant to the

	for Applica		C.F.R. §1.704(a) to avoid reduction of the period of adjustment of the patent term lay.
F.		R §1.98((a)(2). The content of the Information Disclosure Statement is as follows:
		Copies herewi	of each of the references listed on the attached Form PTO/SB/08 are enclosed th.
			OR
	\boxtimes	_	of U.S. Patent Documents (issued patents and patent publications) listed on the d Form PTO/SB/08 are NOT enclosed.
			AND/OR
		_	of Foreign Patent Documents and/or Non Patent Literature Documents listed on sched Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).
			AND/OR
			of pending unpublished U.S. patent applications are enclosed in accordance with \$1.98(a)(2)(iii).
G.	⊠ 37 CF. references.		(a)(3). The Information Disclosure Statement includes non-English patents and/or
			nt to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, ation or other information provided that is not in English is provided herewith.
			Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.
			OR
			A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:
			nt to 37 CFR §1.98(a)(3)(ii), a copy of a translation, or a portion thereof, of the glish language reference(s) is provided herewith.
			3226 was published in German. The English language abstract and the drawings ficient to convey the scope of its disclosure.
		02/436	2.6459, FR 2749155, FR 2844180, WO 01/06939 A1, WO 01/28442 A1, WO 03 A1, WO 02/071960 and WO 03/041618 A2 were published in French. The a language abstract and the drawings are sufficient to convey the scope of their ure.
			2/02024 A1 was published in Spanish. The English language abstract and the gs are sufficient to convey the scope of its disclosure.
		publica	the Examiner believe that a complete translation of any of the above mentioned tions is necessary to understand their disclosure, Applicant(s) will endeavor to e such translations at the Examiner's request.
Н.	☐ 37 CFI	<i>R §1.98(</i> n specifie	(d). Copies of patents, publications and pending U.S. patent applications, or other ed in 37 C.F.R. § 1.98(a) are not provided herewith because:

		* * * * * * * * * * * * * * * * * * * *	or another application under which this application of filing date under 35 U.S.C. 120.
		Application in which the information	was submitted:
		Information Disclosure Statement(s)	filed on:
		AND	
		The information disclosure statemen paragraphs (a) through (c) of 37 CFR	t submitted in the earlier application complied with §1.98.
I.	of \$180.00		reby authorized to charge the above-referenced fees or credit any overpayment associated with this (Docket No.29914-701.406).
		•	Respectfully submitted,
			WILSON SONSINI GOODRICH & ROSATI
Dat	ted: <u>June 12</u>	, 2006	By:
	\ D		Cecity Anne O'Regan, Reg. No. 37,448
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	o Alto, CA 9		
•	0) 493-9300		
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				Complete if Known			
Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/657,837		
			LOSURE	Filing Date	September 9, 2003		
				First Named Inventor	Mark A.Reiley		
(Use as	many sheet	ts as nec	essary)	Art Unit	3738		
				Examiner Name	David J. Isabella		
Sheet	1	Of	10	Attorney Docket Number	29914-701.406		

		U.S. PA	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	143.	US 2002/0013585 A1	01-31-2002	Gournay et al.	
	144.	US 2002/0013588 A1	01-31-2002	Landry et al.	
	145.	US 2002/0042613 A1	04-11-2002	Mata	
	146.	US 2002/0049446 A1	04-25-2002	Harkey, III et al.	
	147.	US 2002/0065557 A1	05-30-2002	Goble et al.	
	148.	US 2002/0082601 A1	06-27-2002	Toyoma et al.	
	149.	US 2002/0120272 A1	08-29-2002	Yuan et al.	
	150.	US 2002/0123806 A1	09-05-2002	Reiley	
	151.	US 2003/0004572 A1	01-02-2003	Goble et al.	
	152.	US 2003/0040797 A1	02-27-2003	Fallin et al.	
	153.	US 2003/0125740 A1	07-03-2003	Khanna	
	154.	US 2003/0181914 A1	09-25-2003	Johnson et al.	
	155.	US 2003/0191532 A1	10-09-2003	Goble et al.	
	156.	US 2003/0204259 A1	10-30-2003	Goble et al.	
	157.	US 2004/0006391 A1	01-08-2004	Reiley	
	158.	US 2004/0049272 A1	03-11-2004	Reiley	
	159.	US 2004/0049273 A1	03-11-2004	Reiley	
	160.	US 2004/0049274 A1	03-11-2004	Reiley	
	161.	US 2004/0049275 A1	03-11-2004	Reiley	
	162.	US 2004/0049276 A1	03-11-2004	Reiley	
	163.	US 2004/0049277 A1	03-11-2004	Reiley	
	164.	US 2004/0049278 A1	03-11-2004	Reiley	
	165.	US 2004/0111154 A1	06-10-2004	Reiley	
	166.	US 2004/0230201 A1	11-18-2004	Yuan et al.	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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				Complete if Known		
Substitute for form 1449/PTO INFORMATION DISCLOSURE				Application Number	10/657,837	
			LOSURE	Filing Date	September 9, 2003	
	STATEMENT BY APPLICANT		First Named Inventor	Mark A.Reiley		
(Use as	many sheet:	s as nec	cessary)	Art Unit	3738	
			Examiner Name	David J. Isabella		
Sheet	2	Of	10	Attorney Docket Number	29914-701.406	

		U.S. P.	ATENT DOC	UMENTS	
Examiner Cite Initials* No.1		Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	167.	US 2004/0230304 A1	11-18-2004	Yuan et al.	
	168.	US 2005/0010291 A1	01-13-2005	Stinson et al.	
	169.	US 2005/0015146 A1	01-20-2005	Louis et al.	
	170.	US 2005/0027361 A1	02-03-2005	Reiley	
	171.	US 2005/0033434 A1	02-10-2005	Berry	
	172.	US 2005/0043799 A1	02-24-2005	Reiley	
	173.	US 2005/0055096 A1	03-10-2005	Serhan et al.	
	174.	US 2005/0080428 A1	04-14-2005	White	
	175.	US 2005/0085912 A1	04-21-2005	Arnin et al.	
	176.	US 2005/0102028 A1	05-12-2005	Arnin et al.	
	177.	US 2005/0119748 A1	06-02-2005	Reiley et al.	
•	178.	US 2005/0131406 A1	06-16-2005	Reiley et al.	
	179.	US 2005/0137705 A1	06-23-2005	Reiley	
	180.	US 2005/0137706 A1	06-23-2005	Reiley	
	181.	US 2005/0143818 A1	06-30-2005	Yuan et al.	
	182.	US 2005/0149190 A1	07-07-2005	Reiley	
	183.	US 2005/0177240 A1	08-11-2005	Blain	
,	184.	US 2005/0187560 A1	08-25-2005	Dietzel et al.	
	185.	US 2005/0192589 A1	09-01-2005	Raymond et al.	
	186.	US 2005/0234552 A1	10-20-2005	Reiley	
	187.	US 2005/0235508 A1	10-27-2005	Augostino et al.	
	188.	US 2005/0240264 A1	10-27-2005	Tokish, JR. et al.	
	189.	US 2005/0240265 A1	10-27-2005	Kuiper et al.	
	190.	US 2005/0240266 A1	10-27-2005	Kuiper et al.	· · · · · · · · · · · · · · · · · · ·

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE				Application Number	10/657,837	
			LOSURE	Filing Date	September 9, 2003	
	STATEMENT BY APPLICANT			First Named Inventor	Mark A.Reiley	
(Use a	s many shee	ts as nec	essary)	Art Unit	3738	
		Examiner Name	David J. Isabella			
Sheet	3	Of	10	Attorney Docket Number	29914-701.406	

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	191.	US 2005/0251256 A1	11-10-2005	Reiley	
	192.	US 2005/0261770 A1	11-24-2005	Kuiper et al.	
	193.	US 2005/0267579 A1	12-01-2005	Reiley et al.	
	194.	US 2005/0283238 A1	12-22-2005	Reiley	
	195.	US 2006/0009847 A1	01-12-2006	Reiley	
	196.	US 2006/0009848 A1	01-12-2006	Reiley	
_	197.	US 2006/0009849 A1	01-12-2006	Reiley	
	198.	US 2006/0041211 A1	02-23-2006	Hawkinson	
	199.	US 2006/0041311 A1	02-23-2006	McLeer	
	200.	US 2006/0052785 A1	02-09-2006	Augostino et al.	
	201.	US 2006/0058791 A1	03-16-2006	Broman et al.	
	202.	US 2006/0079895 A1	04-13-2006	McLeer	
	203.	US 2006/0085072 A1	04-20-2006	Funk et al.	
	204.	US 2006/0085075 A1	04-20-2006	McLeer	
	205.	US 2006/0100707 A1	05-11-2006	Stinson et al.	
·	206.	US 2006/0100709 A1	05-11-2006	Reiley et al.	
	207.	US 3,596,656	08-03-1971	Kaute	
	208.	US 4,040,130	08-09-1977	Laure	······································
	209.	US 4,156,296	05-29-1979	Johnson et al.	
	210.	US 4,210,317	07-01-1980	Spann et al.	
	211.	US 4,231,121	11-04-1980	Lewis	
	212.	US 4,394,370	07-19-1983	Jefferies	
	213.	US 4,472,840	09-25-1984	Jefferies	
	214.	US 4,611,581	09-16-1986	Steffee	

Examiner	Date	
Signature	Considered	

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				Cor	Complete if Known		
Substitute for form 1449/PTO		Application Number	10/657,837				
INFORM	IATION I	DISC	LOSURE	Filing Date	September 9, 2003		
			LICANT	First Named Inventor	Mark A.Reiley		
(Use as	many sheets	s as ne	cessary)	Art Unit	3738		
		Examiner Name	David J. Isabella				
Sheet	4	Of	10	Attorney Docket Number	29914-701.406		

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	215.	US 4,805,602	02-21-1989	Puno et al.	
	216.	US 4,955,916	09-11-1990	Carignan et al.	
	217.	US 5,000,165	03-19-1991	Watanabe	
	218.	US 5,019,081	05-28-1991	Watanabe	"
	219.	US 5,062,845	11-05-1991	Kuslich et al.	
	220.	US 5,108,399	04-28-1992	Eitenmuller et al.	
	221.	US 5,129,900	07-14-1992	Asher et al.	
	222.	US 5,284,655	02-08-1994	Bogdansky et al.	
	223.	US 5,312,409	05-17-1994	McLaughlin et al.	
	224.	US 5,314,476	05-24-1994	Prewett et al.	
	225.	US 5,314,486	05-24-1994	Zang et al.	
	226.	US 5,350,380	09-27-1994	Goble et al.	
	227.	US 5,405,390	04-11-1995	O'Leary et al.	
	228.	US 5,458,641	10-17-1995	Ramirez Jimenez	
	229.	US 5,474,555	12-12-1995	Puno et al.	
	230.	US 5,510,396	04-23-1996	Prewett et al.	
	231.	US 5,569,247	10-29-1996	Morrison	
	232.	US 5,575,792	11-19-1996	Errico et al.	
	233.	US 5,587,695	12-24-1996	Warmerdam	
	234.	US 5,609,641	03-11-1997	Johnson et al.	
	235.	US 5,643,263	07-01-1997	Simonson	
	236.	US 5,649,930	07-22-1997	Kertzner	
	237.	US 5,658,338	08-19-1997	Tullos et al.	
_	238.	US 5,662,651	09-02-1997	Tornier et al.	

Examiner	Date	٦
Signature	Considered	╛

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				Complete if Known		
Substitute for form 1449/PTO				Application Number	10/657,837	
INFORMATION DISCLOSURE		Filing Date	September 9, 2003			
STATEM	MENT BY	APP	LICANT	First Named Inventor	Mark A.Reiley	
(Use as	many sheets	s as nec	cessary)	Art Unit	3738	
			Examiner Name	David J. Isabella		
Sheet	5	Of	10	Attorney Docket Number	29914-701.406	

		U.S. P.	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	239.	US 5,683,391	11-04-1997	Boyd	
	240.	US 5,683,392	11-04-1997	Richelsoph et al.	
	241.	US 5,688,274	11-18-1997	Errico et al.	
	242.	US 5,690,630	11-25-1997	Errico et al.	
	243.	US 5,704,941	01-06-1998	Jacober et al.	
	244.	US 5,725,527	03-10-1998	Biedermann et al.	
	245.	US 5,738,585	04-14-1998	Hoyt, III et al.	
	246.	US 5,741,255	04-21-1998	Krag et al.	
	247.	US 5,766,253	06-16-1998	Brosnahan, III	
	248.	US 5,782,833	07-21-1998	Haider	
3.00	249.	US 5,797,911	08-25-1998	Sherman et al.	
	250.	US 5,800,433	09-01-1998	Benzel et al.	
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	253.	US 5,866,113	02-02-1999	Hoensbroek et al.	
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	261.	US 6,022,350	02-08-2000	Ganem	
	262.	US 6,074,391	06-13-2000	Metz-Stavenhagen et al.	

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				Complete if Known		
Substitute f	or form 1449)/PTO		Application Number	10/657,837	
INFORMATION DISCLOSURE		Filing Date	September 9, 2003			
	STATEMENT BY APPLICANT		First Named Inventor	Mark A.Reiley		
(Use a	s many sheets	s as nec	cessary)	Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	6	Of	10	Attorney Docket Number	29914-701.406	

		U.S. P .	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	263.	US 6,077,262	06-20-2000	Schläpfer et al.	
	264.	US 6,086,590	07-11-2000	Margulies et al.	
	265.	US 6,090,111	07-18-2000	Nichols	
	266.	US 6,132,430	10-17-2000	Wagner	
	267.	US 6,190,388 B1	02-20-2001	Michelson et al.	
	268.	US 6,214,012 B1	04-10-2001	Karpman et al.	
	269.	US 6,231,575 B1	05-15-2001	Krag	
	270.	US 6,248,105 B1	06-19-2001	Schläpfer et al.	
	271.	US 6,280,443 B1	08-28-2001	Gu et al.	
	272.	US 6,290,703 B1	09-18-2001	Ganem	
	273.	US 6,309,391 B1	10-30-2001	Crandall et al.	
	274.	US 6,340,361 B1	01-22-2002	Kraus et al.	
	275.	US 6,340,477 B1	01-22-2002	Anderson	
	276.	US 6,342,054 B1	01-29-2002	Mata	
_	277.	US 6,368,320 B1	04-09-2002	Le Couedic et al.	
_	278.	US 6,451,021 B1	09-17-2002	Ralph et al.	
	279.	US 6,471,705 B1	10-29-2002	Biedermann et al.	
	280.	US 6,514,253 B1	02-04-2003	Yao	
	281.	US 6,520,963 B1	02-18-2003	McKinley	
	282.	US 6,524,315 B1	02-25-2003	Selvitelli et al.	
	283.	US 6,540,749 B2	04-01-2003	Schäfer et al.	
	284.	US 6,547,790 B2	04-15-2003	Harkey, III et al.	
	285.	US 6,554,843 B1	04-29-2003	Ou	
	286.	US 6,565,565 B1	05-20-2003	Yuan et al.	

Examiner	Date	
Signature	Consi	dered

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				Complete if Known		
Substitute for form 1449/PTO				Application Number	10/657,837	
INFORM	1ATION	DISC	LOSURE	Filing Date	September 9, 2003	
STATEMENT BY APPLICANT		First Named Inventor	Mark A.Reiley			
(Use a	s many shee	ts as nec	essary)	Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	7	Of	10	Attorney Docket Number	29914-701.406	

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	287.	US 6,572,617 B1	06-03-2003	Senegas				
	288.	US 6,585,769 B1	07-01-2003	Muhanna et al.				
	289.	US 6,712,849 B2	03-30-2004	Re et al.				
	290.	US 6,749,361 B2	06-15-2004	Hermann et al.				
	291.	US 6,770,095 B2	08-03-2004	Grinberg et al.				
	292.	US 6,793,678 B2	09-21-2004	Hawkins				
	293.	US 6,811,567 B2	11-02-2004	Reiley	· · · · · · · · · · · · · · · · · · ·			
	294.	US 6,902,580 B2	06-07-2005	Fallin et al.				
	295.	US 6,949,123	09-27-2005	Reiley				
	296.	US 6,974,478 B2	12-13-2005	Reiley				

		FOREIGN	PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
	297.	EP 1103226 (in German with English Abstract)	05-30-2001	Schaefer, Bernd		
	298.	EP 1205152 A1	05-15-2002	Lafitt, S.A.		
	299.	EP 1254639 A1	11-06-2002	Industrias Quirurgicas De Levante, S.L.		
	300.	FR 2726459 (in French with English Abstract)	05-10-1996	Desauge Jean Pierre		
	301.	FR 2749155 (in French with English Abstract)	12-05-1997	Albert P. Alby		
	302.	FR 2844180 (in French with English Abstract)	03-12-2004	Spinevision		
	303.	WO 01/06939 A1 (in French with English Abstract)	02-01-2001	Eurosurgical		

Examiner	Date	
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Substitute fo	or form 1449	/РТО		Application Number	10/657,837	
INFORM	IATION I	DISC	LOSURE	Filing Date	September 9, 2003	
_	MENT BY			First Named Inventor	Mark A.Reiley	
(Use as	many sheet.	s as nec	cessary)	Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	8	Of	10	Attorney Docket Number	29914-701.406	

		FOREIGN	PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ – Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Té
	304.	WO 01/15638 A1	03-08-2001	Cauthen Research Group, Inc.		
	305.	WO 01/28442 A1 (in French with English Abstract)	04-26-2001	Spine Next		
	306.	WO 01/67972 A2	09-20-2001	SDGI Holdings, Inc.		
	307.	WO 02/00270 A1	01-03-2002	Victrex Manufacturing Ltd.		
	308.	WO 02/00275 A1	01-03-2002	Victrex Manufacturing Ltd.		
	309.	WO 02/02024 A1 (in Spanish with English Abstract)	01-10-2002	Surgival Co., S.A.		
	310.	WO 02/02158 A1	01-10-2002	Victrex Manufacturing Ltd.		
	311.	WO 02/43603 A1 (in French with English Abstract)	06-06-2002	Graf		
	312.	WO 02/071960 A1 (in French with English Abstract)	09-19-2002	Spine Next		
	313.	WO 02/34150 A2	05-02-2002	SDGI Holdings, Inc.		
	314.	WO 03/041618 A2 (in French with English Abstract)	05-22-2003	Louis et al.		
	315.	WO 03/075805 A1	09-18-2003	Chin		
	316.	WO 2004/103228 A1	12-02-2004	Archus Orthopedics, Inc.		
	317.	WO 2005/009301 A1	02-03-2005	Archus Orthopedics, Inc.		

Examiner	Date
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				Complete if Known		
Substitute for	or form 144	9/PTO		Application Number	10/657,837	
INFORM	IATION	DISC	LOSURE	Filing Date	September 9, 2003	
			LICANT	First Named Inventor	Mark A.Reiley	
(Use as	many shee	ts as nec	essary)	Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	9	Of	10	Attorney Docket Number	29914-701.406	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	318.	ABRAHAM, D.J. et al. Indications And Trends In Use In Cervical Spinal Fusions. Orthop Clin North Am. October 1998; 29(4):731-44.	
	319.	EICHHOLZ, K.M. et al. Complications of Revision Spinal Surgery, Neurosurg Focus; (September 15, 2003), 15(3): pp. 1-4.	
	320.	FARFAN, H.F. Effects Of Torsion On The Intervertebral Joints. <i>The Canadian Journal of Surgery</i> July 1969; 12(3):336-41.	
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	323.	FOSBINDER, R.A. et al. Essentials of Radiologic Science. The McGraw-Hill Companies; 2002.	
	324.	GUYER R. et al. Impliant: Motion Preservation through Total Posterior-Element Replacement. May 7, 2004 Presentation held at Hofburg Center, Vienna, Austria, (2 pages).	
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	327.	LAM, K. N., et al. X-ray Diagnosis: A Physician's Approach. Springer-Verlag; 1998.	

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Substitute f	for form 144	9/PTO		Application Number	10/657,837	
INFORM	MATION	DISCI	LOSURE	Filing Date	September 9, 2003	
	MENT BY			First Named Inventor	Mark A.Reiley	
(Use a.	s many sheei	ts as nec	essary)	Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	10	Of	10	Attorney Docket Number	29914-701.406	

		NON PATENT LITERATURE DOCUMENTS	
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	328.	LOMBARDI, J.S. et al. Treatment Of Degenerative Spondylolisthesis. Spine. 1985; 10(9): 821-7.	
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	331.	ROSENBERG, N.J. Degenerative Spondylolisthesis. Predisposing Factors. <i>The Journal of Bone and Joint Surgery</i> . 1975; 57-A(4): 467-74.	
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ĺ	Examiner	Date
	Signature	Considered

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